Financial Planning Checklist

Please prepare copies of the following documents in order to assist your advisory team.

- Investment Account Statements
  - ☐ 401(k), 403(b), Employer Sponsored Retirement Plans
  - ☐ Plan Investment Options, Employer Matches, etc.
  - ☐ IRA/RIRA statements
  - ☐ Brokerage account statements
  - ☐ Checking & Savings Account Statements

- Retirement Income
  - ☐ Pension Plan Statements (Lump Sum vs. Annuity Options)
  - ☐ Social Security Statements/Print Outs
  - ☐ Rental Income Schedule (if appropriate)
  - ☐ Business Income (if appropriate)

- Insurance & Annuity Policies
  - ☐ Insurance Policies
  - ☐ Annuity Policies/Statements

- Legal & Tax Documents
  - ☐ Trusts, Will, Powers of Attorney
  - ☐ Marital Agreements including prenuptial agreements
  - ☐ Most Recent Tax Return

- Retirement & Financial Goals to Consider
  - ☐ When do you plan to retire?
  - ☐ What is your life expectancy? (family history, medical history)
  - ☐ How much monthly income do you want at retirement?
  - ☐ How much of a legacy do you want to leave your heirs?
  - ☐ What are your short and long term financial goals?
  - ☐ Do you have any large upcoming expenses?
  - ☐ Home ownership (rent, mortgage, paid off?)

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